

U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

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| Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 USC 371 | | Attorney's Docket Number PICK3002/REF |
| | | U.S. Application Number 09/926,051 |
| International Application Number PCT/GB00/00571 | International Filing Date February 17, 2000 | Priority Date Claimed February 24, 1999 |
| Title of Invention STABILIZATION OF TRANSITION ALUMINA | | |
| Applicant(s) for DO/EO/US PICKERING et al. | | |

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items under 35 USC 371:

1. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
2. ☒ An oath or declaration of the inventor(s) (35 USC 371(c)(4)). (☒ Executed ☐ Unexecuted)
3. ☒ A copy of Form PCT/DO/EO/905 dated: October 18, 2001.
4. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
5. ☒ An assignment document for recording.
A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
6. ☐ A **FIRST** preliminary amendment.
- ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
7. ☐ A substitute specification.
8. ☐ A change of power of attorney and/or address letter.
9. ☐ A Verified Statement Claiming Small Entity Status.
10. ☐ A Request for Refund.
11. ☒ Surcharge in the amount of \$ 65.00.
12. ☒ Assignment recordation fee of \$ 40.00.
13. ☐ Processing fee of \$ _____ for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

A check in the amount of \$ 105.00 to cover the fees is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to **Deposit Account Number 02-0200**. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

BACON & THOMAS, PLLC

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65.00 DP

By: Richard E. Fichter
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Date: November 14, 2001

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

PICKERING et al.

Serial No.: 09/926,051

Filed: August 22, 2001

For: STABILIZATION OF TRANSITION ALUMINA

Attention:

PCT BOX MISSING PARTS

RESPONSE AND SUBMISSION OF MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This is in response to the Official Action dated October 18, 2001, in connection with the above-identified application.

The Official Action acknowledges receipt of the application, but indicates that a Declaration in compliance with 37 C.F.R. §1.497(a) and (b) is required. Applicants submit herewith a properly and fully executed Declaration which identifies the application by serial number, title and PCT international application number. A copy of form PCT/DO/EO/905 is submitted herewith along with the required \$65.00 surcharge for small entity.

Applicants also submit herewith an Assignment document for this application.

It is believed that the application is now in condition for examination. Accordingly, applicants most respectfully request that an Official Filing Receipt be issued and that the application be forwarded to the appropriate examining group for its examination in due course.

Respectfully submitted,
BACON & THOMAS, PLLC

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MissPts PCT Ltr toPTO.wpd
November 14, 2001

☒ Commissioner For Patents, Box PCT, United States Patent and Trademark Office, Washington, D.C. 20231, www.uspto.gov

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| U.S. APPLICATION NUMBER NO. 09/926,051 | FIRST NAMED APPLICANT Pickering | ATTY. DOCKET NO. PICK3002/REF |
| INTERNATIONAL APPLICATION NO. PCT/GB00/00571 | | |
| LA. FILING DATE 02/17/2000 | | PRIORITY DATE 03/24/1999 |
| CONFIRMATION NO. 7330 | | |
| 371 FORMALITIES LETTER | | |
| *OC00000006905157* | | |

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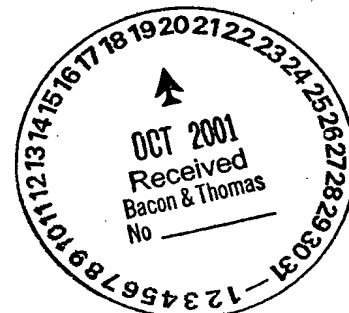
PICK 3002
Date *10/24/01* Atty *RET*
Action Due *NTFM Regs*
Deadline *12/18/01*
Final Deadline _____

Date Mailed: 10/18/2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments
- Request for Immediate Examination
- Small Entity Statement



The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 20 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 r 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

DEBORAH D WILLIAMS

Telephone: (703) 305-3744

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 09/926,051 | PCT/GB00/00571 | PICK3002/REF |